

## COMPOUND WORD BREAKER AND SPELL CHECKER

The present application is based on and claims the benefit of U.S. provisional patent application Serial No. 60/513,921, filed October 23, 2003, the content of which is hereby incorporated by reference in its entirety.

### CROSS-REFERENCE TO RELATED APPLICATIONS

Reference is hereby made to the following co-pending and commonly assigned patent applications filed on even date herewith: U.S. Application Serial No. \_\_\_\_\_ entitled "SYSTEM AND METHOD FOR PERFORMING ANALYSIS ON WORD VARIANTS" and U.S. Application Serial No. \_\_\_\_\_ entitled "FULL-FORM LEXICON WITH TAGGED DATA AND METHODS OF CONSTRUCTING AND USING THE SAME", both of which are incorporated by reference in their entirety.

### BACKGROUND OF THE INVENTION

The present invention is directed to processing compound words when they are encountered in a textual input. More specifically, the present invention determines the component words of a compound word when the compound word is not present in a lexicon. Further the present invention provides spelling suggestions for unlexicalized compounds that are encountered.

The vocabulary of many languages such as German, Dutch, Swedish, Icelandic, Norwegian, Greek, Hungarian, Turkish, and Finnish, are virtually

indefinite. These languages allow for the combining of word-types recursively, and potentially without any defined limit. This process is called "compounding". Other non-compounding languages, such as English, generally build semantic units from 2 or more words either with simple blanks or hyphens (e.g. bus driver association, USA-specific). While the use of hyphens is also common in German (e.g. USA-spezifisch), it is a usual practice to compound words into one new word (e.g. "Busfahrergesellschaft").

As a result of this, it is impossible to store an entire German vocabulary in a dictionary. Therefore, in order to recognize compounded words as legitimate, a runtime compound analysis process has to be applied which can identify the lexicalized components of a compound and evaluate whether their morphological usage as a compound segment is correct.

The challenge of compound analysis is in the mapping of morphologically modified segments back to their infinitival forms or Lemmas, which are generally the forms that are stored in lexica, in order to keep these reasonably small. However, many words do not occur in their base form as a compound segment (e.g. Blumenladen → Blume, Laden(flower + shop); Hundeleine → Hund, Leine (dog + leash)). The literature typically refers to the added characters between segments as "linking elements", which are considered a kind of "morphological glue" between the compounding words. However, it is believed that this

term is insufficient and misleading, since compound segments are often not resolved by the flat recognition of Lemmas and specified linking elements. In fact, the final segment of any compound always  
5 behaves like any other word in the dictionary. In other words, it may inflect according to the sentence structure or the intended semantic usage.

In German, for example, the only compound-related modification to a final segment is the  
10 capitalization: All nouns are spelled uppercase in German. Naturally, if a noun becomes a medial or final part of a larger word, its capital initial needs to be adjusted to be lowercase. Conversely, if a verb, which is always spelled lowercase, where not  
15 at the beginning of a sentence, becomes the initial segment of a nominal compound, it will adjust its capitalization to uppercase. This is not considered as a morphological modification. Non-final segments show compound-specific behavior, which contains  
20 various forms of modifications to a base form, such as

- None: Busfahrergesellschaft = Bus Fahrer Gesellschaft (bus driver association)
- 25 • Added "linker": Blumenladen = Blume Laden (flower shop)
- Shortened segment: Schwimmverein = schwimmen Verein (swimming club)

- "Morphed" segment: Länderspiel = Land Spiel  
(international match)
- "Special cases": Schiffahrt = Schiff Fahrt  
(shipping)

5

Analysis has shown that simple pattern matching over strings, considering only linking elements, will not resolve all kinds of compound segments. The application of all necessary modifications to a  
10 segment in order to map it back to a lexicalized form is very runtime expensive and introduces many unwanted ambiguities, the resolution of which adds to even more runtime burden.

One solution to cut down on expensive runtime  
15 processes is the shift to full form lexica, which contain all inflected forms of a word. These are typically used by commercial spell checkers. Complex morphological analysis (especially for inflected final segments) is replaced by simple lexicon look-  
20 ups. This also supports the lookup of compound segments, as most of them do coincide with a legitimate inflection of a base form. However, not every lexical entry that can be found in a compound is necessarily a valid segment in the given context.  
25 This is where currently available spell checkers often fail to deal with compounds correctly. For instance, many spelling errors are not detected and spelling suggestions - if any are offered at all - are not believed to be reliable.

Compound analysis is needed for a variety of applications that involve natural language processing. Such applications include word breaking (for search engines), grammar checking, spell  
5 checking, handwriting recognition and speech recognition, machine translation, text mining, etc.

Fast and accurate compound analysis is desirable to transform documents into an index over which search queries are to be executed. It is important to  
10 identify the components of a compounded word, in order to reach maximal matching coverage. Therefore, every segment of a compound will be stored in the index and also every compounded query will be broken down to compound segments. This helps to ensure that,  
15 for example, the query "Ball" (ball) will also match with "Lederball" (leather ball) and vice versa.

Further, one issue German users have noted with spell checkers is a dissatisfying execution on compounds. Proofing tools are one of the most  
20 important technologies in the editor market. More accurate analysis of compounds is desired not only to support a more reliable and helpful spell checker, but also to enhance grammar checkers.

Word breaking and spell checking have different  
25 compound analysis requirements. While one might want to be more lenient about the correct morphological usage of segments within a compound, one needs to be able to flag wrong usage (e.g. missed or added linking elements).

SUMMARY OF THE INVENTION

The present invention performs compound analysis on input words. In a first embodiment, when a compound word is encountered, the component words  
5 that comprise the compound word can be identified. The static compound analysis module of the present invention is invoked. First, by analyzing the lexicon, the compound analysis component is able to eliminate any compound words that are already in the  
10 lexicon. If the word is not in the lexicon the present invention proceeds to analyze the compound word on a character-by-character basis. Following each character the lexicon is re-searched for entries related to the component word. If a match is made  
15 with a component word, the compound analysis checks to determine whether the identified component can be used as a component in the compound word, by checking whether the lexicon includes an indication that the word can be used as a component word.

20 Once a component word is identified the compound analysis module proceeds to check the remaining characters for component words. The compound analysis module may find additional component words in the remaining characters. If additional component words  
25 are found prior to reaching the last character of the compound word, the module checks to ensure that these component words can be used in non-final segments. Also, the module checks to ensure that the remaining characters are not restricted from being a final  
30 segment. This process is repeated until all

characters have been analyzed and all possible component words have been identified.

Once all of the component words have been identified, the module calculates the relative probability of each segment group of the compound word. These probabilities are based upon data obtained during a statistical analysis of preexisting texts. Based on the results of the analysis, the module returns to the user or program, a most likely segmentation, or a group of segmentations along with their associated probabilities.

A second embodiment of the present invention is related to spell checking of unlexicalized compound words. These words are generally created by the user during the course of writing a document. The process executed by the compound analysis module is similar to the process used in word breaking, but the output is different.

When the compound analysis module is invoked for spell checking, the system is not concerned with the relative likelihood that a compound is correct, but whether it is a possible and probable compound. First the analysis module checks the word against the lexicon. If found in the lexicon, nothing further happens. If however, the word is not in the lexicon, the analysis module proceeds to break the word into component words. When a component is matched with a word in the lexicon, the analysis module checks to see whether the word in its present form is allowable in a compound. If it is not allowable in a compound,

the module checks the next character against the allowable variations of the identified word for use in a compound. If an allowable word is found, the module accepts the word and proceeds. However, if the  
5 next character is not a valid entry in the lexicon, the module will then identify the variations of allowable segments as possible suggestions. The module then performs a statistical analysis and attempts to determine which of the variations is most  
10 likely the correct variation for use in this compound word. The best answer is output to the user as a spelling suggestion.

The static compound analysis of the present invention provides a significant improvement in the  
15 capabilities of word breakers and spell checkers when encountering compound words. Through the use of a full form lexicon that is annotated to include variations of words as they occur in compounds, the compound analysis module is able to identify compound  
20 words that are not lexicalized in the lexicon.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a block diagram of one exemplary environment in which the present invention can be  
25 used.

FIG. 2 is a block diagram illustrating one embodiment of the components of the present invention used to identify the component words of a compound word.



FIGS. 3A and 3B are one embodiment of diagrammatic representations of lattices generated by the decoder.

FIG. 4 is a flow diagram illustrating one embodiment of the steps executed when the statistical language model is accessed.

FIG. 5 is a flow diagram illustrating one embodiment of the process when compound word is encountered.

FIG. 6 is a flow diagram illustrating one embodiment of the invocation of static compound analysis.

FIG. 7 is a flow diagram illustrating one embodiment of the steps executed for word breaking.

FIG. 8 is a flow diagram illustrating one embodiment of the steps executed when a compound word is misspelled.

#### DETAILED DESCRIPTION OF ILLUSTRATIVE EMBODIMENTS

FIG. 1 illustrates an example of a suitable computing system environment 100 on which the invention may be implemented. The computing system environment 100 is only one example of a suitable computing environment and is not intended to suggest any limitation as to the scope of use or functionality of the invention. Neither should the computing environment 100 be interpreted as having any dependency or requirement relating to any one or combination of components illustrated in the exemplary operating environment 100.

The invention is operational with numerous other general purpose or special purpose computing system environments or configurations. Examples of well known computing systems, environments, and/or configurations that may be suitable for use with the invention include, but are not limited to, personal computers, server computers, hand-held or laptop devices, multiprocessor systems, microprocessor-based systems, set top boxes, programmable consumer electronics, network PCs, minicomputers, mainframe computers, distributed computing environments that include any of the above systems or devices, and the like.

The invention may be described in the general context of computer-executable instructions, such as program modules, being executed by a computer. Generally, program modules include routines, programs, objects, components, data structures, etc. that perform particular tasks or implement particular abstract data types. The invention may also be practiced in distributed computing environments where tasks are performed by remote processing devices that are linked through a communications network. In a distributed computing environment, program modules may be located in both local and remote computer storage media including memory storage devices.

With reference to FIG. 1, an exemplary system for implementing the invention includes a general purpose computing device in the form of a computer 110. Components of computer 110 may include, but are

not limited to, a processing unit 120, a system memory 130, and a system bus 121 that couples various system components including the system memory to the processing unit 120. The system bus 121 may be any of  
5 several types of bus structures including a memory bus or memory controller, a peripheral bus, and a local bus using any of a variety of bus architectures. By way of example, and not limitation, such architectures include Industry Standard  
10 Architecture (ISA) bus, Micro Channel Architecture (MCA) bus, Enhanced ISA (EISA) bus, Video Electronics Standards Association (VESA) local bus, and Peripheral Component Interconnect (PCI) bus also known as Mezzanine bus.

15 Computer 110 typically includes a variety of computer readable media. Computer readable media can be any available media that can be accessed by computer 110 and includes both volatile and nonvolatile media, removable and non-removable media.  
20 By way of example, and not limitation, computer readable media may comprise computer storage media and communication media. Computer storage media includes both volatile and nonvolatile, removable and non-removable media implemented in any method or  
25 technology for storage of information such as computer readable instructions, data structures, program modules or other data. Computer storage media includes, but is not limited to, RAM, ROM, EEPROM, flash memory or other memory technology, CD-ROM,  
30 digital versatile disks (DVD) or other optical disk

storage, magnetic cassettes, magnetic tape, magnetic disk storage or other magnetic storage devices, or any other medium which can be used to store the desired information and which can be accessed by  
5 computer 110. Communication media typically embodies computer readable instructions, data structures, program modules or other data in a modulated data signal such as a carrier wave or other transport mechanism and includes any information delivery  
10 media. The term "modulated data signal" means a signal that has one or more of its characteristics set or changed in such a manner as to encode information in the signal. By way of example, and not limitation, communication media includes wired media  
15 such as a wired network or direct-wired connection, and wireless media such as acoustic, RF, infrared and other wireless media. Combinations of any of the above should also be included within the scope of computer readable media.

20 The system memory 130 includes computer storage media in the form of volatile and/or nonvolatile memory such as read only memory (ROM) 131 and random access memory (RAM) 132. A basic input/output system 133 (BIOS), containing the basic routines that help  
25 to transfer information between elements within computer 110, such as during start-up, is typically stored in ROM 131. RAM 132 typically contains data and/or program modules that are immediately accessible to and/or presently being operated on by  
30 processing unit 120. By way of example, and not

limitation, FIG. 1 illustrates operating system 134, application programs 135, other program modules 136, and program data 137.

The computer 110 may also include other  
5 removable/non-removable volatile/nonvolatile computer storage media. By way of example only, FIG. 1 illustrates a hard disk drive 141 that reads from or writes to non-removable, nonvolatile magnetic media, a magnetic disk drive 151 that reads from or writes  
10 to a removable, nonvolatile magnetic disk 152, and an optical disk drive 155 that reads from or writes to a removable, nonvolatile optical disk 156 such as a CD ROM or other optical media. Other removable/non-removable, volatile/nonvolatile computer storage  
15 media that can be used in the exemplary operating environment include, but are not limited to, magnetic tape cassettes, flash memory cards, digital versatile disks, digital video tape, solid state RAM, solid state ROM, and the like. The hard disk drive 141 is  
20 typically connected to the system bus 121 through a non-removable memory interface such as interface 140, and magnetic disk drive 151 and optical disk drive 155 are typically connected to the system bus 121 by a removable memory interface, such as interface 150.

25 The drives and their associated computer storage media discussed above and illustrated in FIG. 1, provide storage of computer readable instructions, data structures, program modules and other data for the computer 110. In FIG. 1, for example, hard disk  
30 drive 141 is illustrated as storing operating system

144, application programs 145, other program modules 146, and program data 147. Note that these components can either be the same as or different from operating system 134, application programs 135, other program modules 136, and program data 137. Operating system 144, application programs 145, other program modules 146, and program data 147 are given different numbers here to illustrate that, at a minimum, they are different copies.

10       A user may enter commands and information into the computer 110 through input devices such as a keyboard 162, a microphone 163, and a pointing device 161, such as a mouse, trackball or touch pad. Other input devices (not shown) may include a joystick, 15 game pad, satellite dish, scanner, or the like. These and other input devices are often connected to the processing unit 120 through a user input interface 160 that is coupled to the system bus, but may be connected by other interface and bus structures, such as a parallel port, game port or a universal serial 20 bus (USB). A monitor 191 or other type of display device is also connected to the system bus 121 via an interface, such as a video interface 190. In addition to the monitor, computers may also include other 25 peripheral output devices such as speakers 197 and printer 196, which may be connected through an output peripheral interface 195.

      The computer 110 may operate in a networked environment using logical connections to one or more 30 remote computers, such as a remote computer 180. The

remote computer 180 may be a personal computer, a hand-held device, a server, a router, a network PC, a peer device or other common network node, and typically includes many or all of the elements  
5 described above relative to the computer 110. The logical connections depicted in FIG. 1 include a local area network (LAN) 171 and a wide area network (WAN) 173, but may also include other networks. Such networking environments are commonplace in offices,  
10 enterprise-wide computer networks, intranets and the Internet.

When used in a LAN networking environment, the computer 110 is connected to the LAN 171 through a network interface or adapter 170. When used in a WAN  
15 networking environment, the computer 110 typically includes a modem 172 or other means for establishing communications over the WAN 173, such as the Internet. The modem 172, which may be internal or external, may be connected to the system bus 121 via  
20 the user input interface 160, or other appropriate mechanism. In a networked environment, program modules depicted relative to the computer 110, or portions thereof, may be stored in the remote memory storage device. By way of example, and not  
25 limitation, FIG. 1 illustrates remote application programs 185 as residing on remote computer 180. It will be appreciated that the network connections shown are exemplary and other means of establishing a communications link between the computers may be  
30 used.

FIG. 2 is a block diagram illustrating the components of the present invention used to identify the component words of a compound word. Component word analysis module 200 includes a text analysis module 210, a lexicon 220, and a compound analysis module 230. Text analysis module 210 receives a textual input 201. This textual input can be any kind of textual input that is to be analyzed for compound words, such as a document or a search query. The text analysis module 210 is configured to identify each word in the textual input 201. The identified words are compared to words that are stored in lexicon 220. If a word is not found in the lexicon 220, the word is passed to the compound analysis module 230.

The compound analysis module 230 determines the component words of the compound word, and in one embodiment returns the component words to the text analysis module 210. In another embodiment, the compound analysis module 230 returns spelling suggestions for the compound word to the text analysis module 210, if the compound was misspelled. Otherwise, the module 210 accepts the unlexicalized compound as valid word. These results are then output as output 240. Once again depending on the use of the component word analysis module 200, the output 240 can vary. For example, output 240 can be to a word processor, to a search engine, etc. A more detailed description of the function of compound analysis module 230 is provided below.



The challenge of compound analysis lies in the identification of compound or component segments, which may or may not have undergone morphological adjustments in order to become a valid part of the  
5 compounded word. Typically, the process of compounding is described as the combination of words, often with the use of linking elements and/or the use of "umlauts". The term "umlauting" will be used herein to describe the changes such as "a" to "ä",  
10 "o" to "ö", "u" to "ü", or "o" to "ø". As explained early, sometimes words are shortened when used in a compound and this is also considered.

The dynamic (runtime) compound analysis module  
230 of the present invention considers all possible  
15 modifications in order to restore a word that can be mapped to a lexicalized form, which often introduces a large lattice of possibilities requiring additional ranking. With some very regular exceptions, every valid compound segment coincides with one or more  
20 valid inflectional form(s) of a word. Prior art Systems that make use of fully inflected word-lists already benefit from many successful matches because of that. However, they often map compound segments to lexicalized forms that cannot occur as a segment. For  
25 example, if the textual input "Blumeladen" ("flower shop" in German) is entered for the compound of the words Blume and Laden, prior systems would not recognize that the word is in error, and that the correct compound is Blumenladen.

"Blume" is the nominative singular, or its own base form. However, it never occurs in a compound that way. The correct usage always requires the linking element "n". Or in terms of the present  
5 invention, it always occurs as its plural form in a compound (*Blumen* is the plural of *Blume*). If someone mistypes the compound as illustrated above, the misspelling usually goes unnoticed by a spell checker, since the unfound word "Blumeladen" can  
10 easily be resolved into the lexicalized elements "Blume" and "Laden", and thus be considered a compound.

A further problem with such prior art systems is that they cannot account for compounds whose segments  
15 are not valid inflections of a word, e.g. *Änderungsschneiderei* (modification tailoring) (*Änderungs* is not a valid form of *Änderung*). Systems that dynamically allow the insertion of linking elements might correctly analyze *Änderungsschneiderei*  
20 but there is no guarantee that they are allowing the correct linking element in each context.

Predicting the correct linking element for lexical entries is notoriously difficult and any attempts at writing rules for this based on  
25 phonological context, gender, word origin etc. are generally rendered useless by the large numbers of exceptions. This means that systems relying on such "rules" inevitably lack in either precision or recall. For example, they may allow incorrect linking  
30 elements (e.g. *\*Zeitungepapier* -> *Zeitung + e +*

*papier*) (newsprint) or disallow correct linking elements (e.g. *Länderkammer* (correct) -> *Land* + *s* + *kammer* (incorrect), but -> *Land* + *s* + *mann* (correct)).

5        One advantage of compound analysis according to the present invention is that it recognizes both compound segments that are inflected forms of a word as well those that are not inflected forms (e.g. *Änderungs*), but does so based on what has actually  
10        been seen during the corpus search rather than on imprecise linker rules.

         The lexicon 220 used for static compound analysis, in one embodiment of the present invention, includes a full form lexicon. The full form lexicon  
15        includes a fully inflected wordlist, annotations of valid non-final compound segments, additional segments that do not coincide with regular inflectional forms (these segments are marked as "restricted segments" (seg1) which is discussed in  
20        further detail below), and marking of words which shall not be considered compound segments (anti-seg).

         Anti-segs may not be considered as compound segments for one of a number of different reasons. It may be because they often occur as part of a non-  
25        compounded word (e.g.: "ion" (chemical element) vs. **Nation**. If someone misspelled "Nation" with "Nahion", it is not desirable to resolve it to "nah" (=near) + "ion"), or because they generally don't compound. For instance, such segments include most inflections of

"sein" (to be), such as "ist" (is), "sind" (are),  
"war" (was), etc.

The full form lexicon may also include general  
heuristics for capitalization regulations. Such  
5 heuristics may implement regulations such as every  
medial or final element must always be spelled  
lowercase, word-initial segments need to comply with  
the sentence position and the part of speech of the  
final segments (which determines the part of speech  
10 of the entire compound and if it is a noun, then the  
entire compound needs to begin with a capital letter,  
even if the word-initial segment was a verb).

One feature of lexicon 220 is the annotation of  
valid non-final compound segments. These annotations  
15 are generated, in one embodiment, using a fully  
dynamic compound analysis (DCA) process the root of  
which is used at runtime and implemented by the  
grammar checker, such as the grammar checker  
developed by Microsoft Corporation of Redmond,  
20 Washington. However other compound analysis processes  
may be used. The DCA is illustratively run over large  
corpora, and returns dynamically calculated compound  
segments using complex and time consuming  
morphological analysis and ranking mechanisms. The  
25 DCA identifies compound segments using a reversed  
application of segment modifications in order to  
resolve them to their base form, since a (richly  
annotated) base form lexicon is what typical systems  
usually work with.

A mapping algorithm attempts to map back the results to the original string, thus increasing the accuracy of the returned segments by weeding out every failed mapping. The output routine for the  
5 successfully mapped segments then attaches information to each surface segment (i.e. the segment as it occurs in the compound) whether it has been found in word-initial/word-medial (seg1), or word-final position (which does not need specific marking,  
10 since most words of certain parts of speech can also occur as a final segment).

The compounding rules for the non-final segments are basically the same. However, the word-initial segment illustratively obeys certain capitalization  
15 rules (as discussed above). Since this is strictly context-dependent, a separate annotation for word-initial and word-medial segments in the lexicon is not only redundant, but has an adverse effect on coverage of the lexicon.

20 Following the mapping, all annotations are transferred into the fully inflected wordlist (or full form lexicon 220). If a lexical entry never occurred as a non-final compound segment during the corpora "harvesting", it will not be marked as a  
25 valid seg1 segment.

Every entry with the part of speech noun, verb, adjective, or adverb is considered a potentially valid final segment, unless it has been specifically marked as an invalid compound segment. For example,

the full form lexicon representation of "Blume" (with respect to compounding) looks as follows:

Blume

Blumen - seg1

5       The "seg1" indication is a bit added to the entry that indicates that this entry is a valid entry in a compound. It also indicates that capitalization may be ignored for medial and final segments and determined for initial segments according to their  
10 context.

The representation of the inflections of an entry like "Land" illustratively appears as:

Land - seg1       (Landwirtschaft)               (farming,  
agriculture)

15       Landes - seg1   (Landeskammer) (Local legislature)

Lands - seg1   (Landsmann) (fellow countryman)

Länder - seg1   (Länderspiel) (game between two  
international teams)

Ländern

20

All entries marked with "seg1" are illustratively accepted as a non-final segment, which means that everything else, though present in the lexicon, will either be excluded or accepted with  
25 penalty. When accepted with penalty a spelling suggestion can be made as is discussed later.

This approach allows any combination of "seg1" and final segment, e.g. Landkammer, Länderwirtschaft, etc. However, the correct versions of these compounds  
30 (Landeskammer, Landwirtschaft) are very common and

should therefore be lexicalized as whole compounds in the lexicon 220. Thus resulting in a preferential ranking of these compounds during analysis.

Some compound segments occur with a separate  
5 linking character "s", which is not part of any valid inflection of the word. Typically, this is true for every word ending in "ung" (a derivation used to nominalize verbs, similar to the English "ing"). Thus, there is no valid inflection that would allow  
10 an "s" at the end of an "ung"-word. However the "s" is mandatory for such a word to be used in a compound for example:

Bedeutungstragend → Bedeutung + s + tragend,  
(meaning+carrying)  
15 Verheissungsvoll → Verheissung + s + voll  
(promise+full)

Valid Inflections of the word "Bedeutung" are:

Bedeutung  
20 Bedeutungen

It should be noted that all words ending in "ung" inflect the same way.

In order to be able to map these forms to  
25 lexicon 220 in the same way as other segments are to their marked inflections, additional forms are added to the lexicon 220 that are only correct in the context of a compound, e.g. "Bedeutungs",

"Verheissungs", etc. Therefore, the representation in the lexicon 220 for Bedeutung is as follows:

Bedeutung

Bedeutungen

5       Bedeutungs - seg1

The seg1-information allows the system to recognize the corresponding entry as a valid compound segment just like the segments that coincided with  
10 valid inflectional forms already in the lexicon. It is necessary to ensure, though, that these incorrect entries can still be identified as wrong stand-alone forms by the spell checker. To achieve this the present invention utilizes spell-checking-related  
15 information already encoded. Like many other languages, German has different dialects (e.g. Swiss, and Austrian) and words may either be valid in one, some, or all of the dialects. An entry like "Bedeutungs" is not a valid stand-alone word in any  
20 dialect and therefore does not have any dialect-marking. The lack of a dialect marker or bit is the information that the system uses to identify an entry as incorrect, and therefore able to flag it as a misspelled word if encountered in user input.

25       Verbs can also be used in compound words. When verbal non-final segments are shorter in the compound than in their infinitival form there is no need for any special treatment, as the shorter variants also correspond to existing inflectional verb forms.  
30 Usually, the corresponding inflection is the no-schwa



first person singular (or the imperative, which is the same for regular verbs).

For example, "schwimmen" (to swim), the verb, has a no-schwa first person singular and imperative of "schwimm" where the imperative is also indicated in the lexicon 220 as being an allowed non-final segment, and thus includes a "seg1" bit.

The final category of entries that should be considered are compounds in which a letter is omitted in order to avoid tripling (for example Schiff + Fahrt -> Schiffahrt). Three repetitions of the same letter was forbidden according to old spelling conventions. Recently, approximately 8 years ago, a spelling reform came into effect that now allows three consecutive identical letters. Since the spelling reform is still very young and especially as not all persons choose to abide by the new spelling conventions, it is necessary to provide for different spelling variations that may be encountered. For example: under the old spelling rules the combination of Nordsee + Expedition becomes Nordseeexpedition (old sp.) and under the new spelling becomes Nordseeexpedition (new sp.)

In order to be able to separate the compound "Nordseeexpedition" into "Nordsee" and "Expedition", an additional "e" needs to be added to the word. In one embodiment, this addition is not dealt with in the lexicon 220, but in a lexical lookup tool 232 in the analysis module 230 which compares each character of an input string with each of the lexical entries

(left to right). In the case of a mapping failure (e.g. an unlexicalized compound), the system will switch to "compound mode" and the mapper will be able to find "Nordsee", but will not be able to map  
5 "xpeditio" to any existing word. Since the system is in compound mode, it can use the general information that confirmed segments with double letters at the end might share one of their letters with the next segment, and take this into consideration at runtime.  
10 If in speller mode, this may or may not be indicated by a "red squiggle" or other indication, depending on whether the spell check system has been set to pre-spelling reform or post-spelling reform. In the context of word breaking, it simply enables the  
15 system to return the correct segments.

In rare cases when the mapper is able to find a valid lexical entry in spite of a missing character, two segmentations are emitted and a statistical language model can be used to rank their respective  
20 probabilities.

For example: Nordseegel can be broken into a compound of Nordsee + Egel or Nordsee + Gel. These two hypotheses of the component words are ranked according to their statistical probabilities.

25 This is not the only context which might return different variations of possible segmentations. While the amount of segment ambiguity is significantly less than what is encountered with the application of dynamic linking logic, some cases are still possible.  
30 For example, the word "Nordengland" can segment into

Nord+eng+land (northern+tight+country) or can segment into Nord+England (northern+England) and Staubecken can segment into Staub + Ecken (dust + corners) or Stau + Becken (water reservoir near a dam).

5        While the former kind of ambiguity may be handled by simple "longest segment" heuristics, the second example cannot be disambiguated that way. Therefore, the output of the static compound analysis goes into a decoder 234, which is basically a segment  
10 lattice as illustrated in FIGS. 3A and 3B for the above examples of dual segmentation.

      A statistical language model 236 then uses frequency information gathered during the aforementioned compound segment harvesting in order  
15 to assign probabilities to the different paths through the lattice generated by the decoder. If the client requires only one result to be emitted, this assists in selecting the most probable one. If more than one result is desired, the statistical language  
20 model 236 ranks the generated lattices.

      When the system is spell checking, the ambiguity does not require resolution, because the system only needs to confirm a correct segmentation. This is true unless the compound is subject to a spelling-reform  
25 related ambiguity, which, in the case of "Nordseegel" (North Sea+leech or North Sea+gel) the statistical language model 236 illustratively considers the frequency of "Gel" as a final segment versus "Egel" (or if bigrams are being evaluated, the frequency of  
30 "Gel" next to "Nordsee" or "See" versus "Egel" in the

same context). A strong difference in probability determines whether the spell checker should suggest an extra "e" for "Nordsee" + "Egel"

Compound segments are gathered, in one  
5 embodiment, by data harvesting a large corpora. During the data harvesting frequency information related to each word's position within a compound is collected. This information is used in different degrees of granularity depending on the needs of the  
10 system. This granularity can include simply considering context-free unigram information, such as: "how often does a lexical entry appear as a segment?" It can also include considering context-sensitive unigram information, such as: "how often  
15 does a lexical entry appear as initial/medial/final segment?" The data harvesting also obtains information by considering bi-gram information, such as: "how often does segment x occur next to segment y?" and also by considering category bi-gram  
20 information, such as: "how often does segment x occur next to a certain part of speech?"

The approach taken by one embodiment of the present invention in the statistical language model  
236 uses bigram information. To avoid issues of data  
25 sparsity it counts lemma frequencies rather than frequencies of surface strings. For non-final segments, counting lemma frequencies rather than surface strings makes little difference, as for each lemma there are usually only one, maybe two,  
30 inflectional forms that are allowed in a compound.

For final segments, however, this approach greatly increases the count, and highlights that the exact form of the head (final) segment is of little importance. For example, if the system calculates the probability of the co-occurrence of *Staub* + *Ecke* ( $P(Ecke|Staub)$ ) (*dust* + *corner*). The system can assume that this probability can also be used for the bigram *Staub* + *Ecken* ( $P(Ecken|Staub)$ ) (*dust* + *corners*).

FIG. 4 is a flow diagram illustrating the steps executed when the statistical language model 236 is accessed. A compound word is received by the statistical language model at step 410. Segments at the beginning and end of compounds do not have segments on both sides which is a requirement for a bigram model. Thus, the statistical language model 236 uses WB (word beginning) and WE (word end) dummy segments where needed. These segments are added at step 420. These dummy segments are useful for another reason, which is that intuitively it is assumed that final segments behave similarly in terms of where and how often they occur. It seems likely that some lexical entries may be better modifying segments whilst others are better head words. Using the "WE" segment when calculating bigrams allows the statistical language model to not only calculate the probability of, for example, *Staub* given *Ecke* ( $P(Ecke|Staub)$ ) but also the probability of word end (WE) given *Ecke* ( $P(WE|Ecke)$ ) - i.e. how likely is

*Ecke* to occur as the lemma of the head segment of a compound.

The addition of WB and WE is important for another reason, namely that it allows the statistical language model 236 to indirectly factor in the unigram frequencies of lexical entries using the probabilities of  $(lexical\_entry|WB)$  and  $(WE|lexical\_entry)$  bigrams. This is important because the statistical significance of a bigram probability depends on how frequently the two lexical entries occurred overall in the corpus. The calculation of the probability of the occurrence of each component of the compound is illustrated at step 430. These probabilities are stored in a database within the statistical language model 236 at step 440.

The advantage of using bigrams is that it is possible to obtain a more "intelligent" assessment of the probabilities. Bigrams allow for the tapping of semantic information to a certain degree. For example, the compound *Nordseeegel* is ambiguous between *Nordsee+Gel* (northsee gel) and *Nordsee+Egel* (northsee leech). In many corpora *gel* is more frequent than *leech* and a unigram model favors the unlikely *northsee gel* interpretation. In the context of Northsee, however, *leech* is more likely than *gel* and a bigram model correctly reflects this.

The disadvantage of bigram models is potential data sparsity because bigrams are inherently less frequent than unigrams. It is expected that the system will encounter compound analyses with no

available bigram information because the individual segments simply did not co-occur in the training corpus. In these cases the system can "back-off" to a more general category and use bigrams of part-of-  
5 speech rather than lemmas.

The multiplication of the segment bigram probabilities means that analyses with less compound segments are favored over analyses with more - a highly desirable consequence. The segmentations are  
10 then ranked according to their probabilities. Client requirements can be used to determine whether all or just the top one or top n get emitted when the analysis module 230 is called.

FIG. 5 is a flow diagram illustrating the  
15 process followed in one embodiment of the present invention when a compound word is encountered. The system receives the compound word at step 510. All encountered interpretations are entered as traces into the lattice in decoder 234. These traces are  
20 generated at step 520. The database of statistical unigram and bigram information is accessed for each trace at 530. For example, if the compound is "Staubecken" the system will calculate the probabilities including unigram and bigram  
25 probabilities for all segmentations at step 540.

Based on the calculated probabilities the interpretations are ranked and suggested in the most probable order at step 550. This ranking is predominantly significant for the word breaking  
30 context where the actual segments need to be

returned. For spell checking, the ranking is generally less important, as it is desirable to prove that *some* valid segmentation could be identified in a *non-lexicalized* compound, not necessarily which one.

5 However, it becomes very important if used to decide for or against a compound-interpretation versus a spelling error. For example, assume the word "Superowl" is encountered. The system determines if the intended word is a misspelling of "Superbowl" or

10 a compound "Super owl". To achieve this the system determines the likelihood of the compound "Super+owl".

In one embodiment, the lexicon 220 includes 75,000 distinct compounds. For the purpose of spell

15 checking, these lexicalized compounds make successful look-ups more reliable, because the word-breaker and the spell-checker generally prefer lexicalized compounds over ones constructed by the compound analysis. A correctly spelled lexicalized compound

20 can immediately be identified, and also a slightly misspelled lexicalized compound can easily be corrected and be offered as a top ranked correction. Generally, the most frequent German compounds will be lexicalized, ensuring that they always take the top

25 position in a suggested ranking.

However, the present invention does not exclude the possibility that writing a different (non-lexicalized) compound might have been the true intention of the author. Depending on the penalty

30 assigned by the spelling correction algorithm, the



system may additionally call for a static compound analysis. In some cases the system might find a combination which does not require spelling correction.

5       For example the correctly spelled word "Hundetuch" (dog cloth / scarf / bandana) might be considered a misspelled version of "Handtuch" (towel). However, this assumption would require two corrections ( $u \rightarrow a$ ,  $e \rightarrow \emptyset$ ) to the word. Statistical  
10 information regarding the segments assist the spell checker in weighing the likelihood of the input being a compound against the likelihood of it being a misspelled lexical entry.

      In the above example, the high "edit distance"  
15 resulting from the two corrections will most likely lose against the correct compound. However, if the system were presented with the word "Hundtuch" it is possible to arrive at a lexical match (with or without compound analysis) with at least one spelling  
20 correction (either  $a \rightarrow u$  for the lexicalized "Handtuch" or  $\emptyset \rightarrow e$  for the correct compounded form). Depending on the statistical likelihood of the compound and other programming features, the system can decide to offer "Hundetuch" as a possible spelling correction, but if  
25 it does, it is illustratively ranked lower than the lexicalized word "Handtuch". An example of the steps executed by the static compound analysis module when a misspelled compound is encountered is illustrated at FIG. 8.

FIG. 6 is a flow diagram illustrating the invocation of static compound analysis in module 230 for both spell checking and word breaking scenarios. A compound word is received at 610. A match between  
5 the compound word and the full form lexicon is attempted at step 620. If the compound word is found in the lexicon as a lexicalized compound, the match will succeed at 625, and then the context of the invocation of either spell check or word breaking is  
10 determined. It can then be determined whether it is needed to split the lexicalized compounds into its segments or not at step 630 (yes for word breaking, no for spell checking). In the wordbreaking scenario, the static compound analysis (SCA) or other process  
15 is invoked at step 640 in order to obtain the segmentation information that has been provided for the lexicalized compounds.

If the compound was not matched with the full form lexicon at step 620 and 625, the system  
20 determines if segments are needed, at step 642. If segments are needed static compound analysis is invoked at step 644. If the system is in spell check mode the spell checker is invoked at step 650. Spell checking at this step can be done according to any  
25 method of spell checking or can be done according to the teachings of the present invention. If no spelling suggestion can be offered at step 655, the static compound analysis module 230 is called at step 660.

When static compound analysis is called for spell checking the static compound analysis module 230 is not used to provide segmentation information, but to identify all partial licensed matches, such as  
5 those segments that may be used in a compound word. It also uses its language model to suggest the best segmentation (e.g. most probable), if more than one correct interpretation is possible, to generate a spelling suggestion.

10 FIG. 7 is a flow diagram illustrating the steps executed when the static compound analysis module 236 is invoked for word breaking at step 644 of FIG. 6. For purposes of this discussion it is assumed that the textual input of "Hundeleine" is received at step  
15 710. The input of "Hundeleine" means dog leash in German. The input is read character-by-character and matched incrementally with entries in full form lexicon at step 720. The first entry in the full form lexicon that the system identifies at step 720 is  
20 "Hund". A match in the lexicon is identified at step 723. This entry is added to the lattice 725. In one alternative embodiment, the system then checks to determine whether the matched entry has the "seg1" bit at step 730, which is illustrated in phantom in  
25 FIG. 7. As the entry does not have the "seg1" bit it cannot be a component portion of a compound word, thus the trace started with "Hund" will not be considered valid. The system identifies that there are additional characters in the input at step 727.

Therefore the first portion is incremented to "Hunde" at step 735 by incrementing to the next character in the compound word. The system then finds a successful lexical match at step 720 and 730. 5 Again, this match will be added to the lattice at step 730, this time beginning a potentially valid path, as "Hunde" does have the "seg1" bit.

Parallel to the matching of the longer string "Hund+e", the system also allows for new segments to 10 begin, as illustrated at step 737. This method of parallel matching allows for the generation of multiple traces through the word at one time. However, in alternative embodiments of the present invention each potential trace through the compound 15 word can be done individually and not in parallel.

The system continues to map the remaining character string or portion ("leine") to full form lexicon entries, creating possibly several valid as well as invalid traces in the lattice by representing 20 the process above. As a word-internal string is always uncapitalized or sentence-initial strings are always capitalized, any capitalization in the lexicon is ignored for purposes of matching.

The system then checks to determine whether 25 there are characters remaining after matching an entry at step 727. If the remainder matches a lexicon entry, it will be entered into the lattice at step 725. Step 740 indicates the end of the input string has been reached. The system checks to determine 30 whether the entry includes the "seg2" bit or "anti-

seg" bit, which prohibits the word as a final segment at step 744. If the entry includes the "anti-seg" bit, it will not be considered as a valid compound segment, but merely as some unannotated string. As a  
5 result the corresponding trace cannot be resolved as part of a valid compound. (

While traversing the input string in one embodiment, all possible segmentations are added as competing traces to the lattice as they are matched  
10 to the lexicon. Based on these generated traces, the system calculates the probability that any one segmentation is correct according to the process outlined in FIG. 5 above. However, other process may be used. Depending on the arrangement of the system,  
15 the most likely candidate or a set of candidates, is returned at step 750. In this case the segments "Hunde" and "Leine" are returned at step 760. Later processing may convert "Hunde" to "Hund", "Hunden" or "Hundes" depending on the needs of the user.

20 FIG. 8 is a flow diagram illustrating the steps executed when a compound word is misspelled. For purposes of this discussion, it is assumed that the input compound word is "Hundleine" and is received at step 810. In the above input the compound is  
25 misspelled. This error can be interpreted as an omission of the linking "e" or a misspelling (in the context of a compound) of the first segment "Hund" instead of "Hunde". It is important to note that while "Hund" is a perfectly correct stand-alone

entry, it may not appear as such in a compound segment even though it matched an entry at step 815.

The system identifies a lexical match at step 820. The system does not consider the match with the  
5 corresponding full form lexicon entry as valid, because the entry lacks the required "seg1" bit for a component word used in a compound. However, this evaluation takes place after all hypotheses have been added to a lattice at step 835, therefore the  
10 currently matched entry "Hund" will be added regardless of the missing "seg1" information. In alternative embodiments the lattices are generated only when the "seg1" bit is present in the component word. In one alternative embodiment, the system then  
15 checks to determine whether the matched entry has the "seg1" bit at step 730, which is illustrated in phantom in FIG. 7. The system identifies that there are additional characters in the input at step 727. Next the system increments to the next character at  
20 step 845, and determines whether incrementing to the next character "l" (i.e. Hundl) generates a valid entry in the lexicon at step 815. In parallel, the system attempts to match the remaining string portion of the input against a new lexical entry in order to  
25 identify possible compound segment candidates at step 847. However, in alternative embodiments of the present invention each potential trace through the compound word can be done individually and not in parallel.

Eventually, the remaining string "leine" will be matched against "Leine" in the lexicon, and added to the lattice trace as a valid compound segment. Step 850 indicates that the end of the input string has  
5 been reached. Since this trace (containing "Hund" and "Leine") does not constitute a valid compound, as "Hund" does not have a "seg1" marker, the system tries to find licensed variants of "Hund", such as those inflectional variants which have the "seg1" bit  
10 ("Hunde", "Hunds").

In order not to create "masking" problems caused by unlikely compound-interpretations for actual spelling errors (as demonstrated in the "Superowl" example), the original input is first checked for a  
15 possible low-cost spelling error at step 858. If a lexical match with the entire input is found at step 858, the match is assigned a very high probability for the suggestions ranking of step 859. This allows for further compound-related suggestions that would  
20 be ranked lower.

If there was no lexical match after spell checking, and if at least one valid compound-segment trace is in the lattice, the system will stop at step 860. Otherwise, the segments which violate the  
25 validity of the trace (here: "Hund" w/o "seg1") will be further spell-checked at step 870.

In one embodiment the system uses simple spelling-transitions. However, the system can additionally make use of a "Lemma" feature which  
30 relates all inflected forms of a base form (lemma) to

each other for higher accuracy. Every entry contains encoded information that can be evaluated to retrieve its lemma. The lemma contains information regarding all of its inflectional variants. This is how  
5 variants of "Hund" can be identified in the lexicon as alternate variations of the first component word.

If the attempt to find an inflectional variant fails, and matches could only be found with high-penalty speller transitions, the system will refrain  
10 from offering any suggestions and merely flag the compound as having a spelling error at step 827. If there were lexical matches found after spell checking the system accesses the language model and determines the probability of the identified possible compound  
15 segment at step 880. The system identifies "Hunde" as a common compound segment and offers "Hundeleine" (as a concatenation of the successful full form lexicon matches of "Hunde" and "Leine") as the top suggestion for the spell checker at step 890. If these are  
20 lexical matches after spell checking, and generated compound matches the system ranks these results together with the lexicalized compound ranked highest.

Although the present invention has been  
25 described with reference to particular embodiments, workers skilled in the art will recognize that changes may be made in form and detail without departing from the spirit and scope of the invention.